

Atty. Docket No. 3386.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicant:

Fred CHRISTIANS et al.

Examiner:

Unknown

Serial No:

09/683,613

Title: METHODS FOR SCREENING

POLYPEPTIDES

Group Art Unit:

1646

Filing Date:

January 24, 2002

RESPONSE TO NOTICE TO COMPLY WITH 37 C.F.R. 1.821

TO 1.825

RECEIVED

MAY 1 6 2002

Commissioner for Patents Washington, D.C. 20231

TECH CENTER 1600/2900

Sir:

Applicant's attorney requests a one-month extension of time to and including May 7, 2002. A petition for Extension of Time and the appropriate fees are being filed concurrently.

In response to the Notice to Comply with Requirements for Patent Applications containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed February 7, 2002. A Preliminary Amendment is concurrently filed herewith. Figures 10, 11 and 12 have been deleted in this preliminary amendment. These figures have been deleted to correct informalities. After the amendment, all Nucleotide Sequences have been deleted. Therefore, no sequence listing is required for this application.

Date: 5-1-2002

Wei Zhou

Reg. No. 44,419

Respectfully submitted,

Legal Department Affymetrix, Inc. 3380 Central Expressway Santa Clara, CA 95051 Tel: 408/731-5000

Fax: 408/731-5392

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known EE TRANSMITTAL 09/683,613 Application Number for FY 2002 January 24, 2002 Filing Date First Named Inventor Fred Christians nt fees are subject to annual revision. Unknown **Examiner Name** Group / Art Unit 1646 **TECH CENTER 160**0/2900 ON PAYMENT 3386.1 (\$) 110 Attorney Docket No. FEE CALCULATION (continued) METHOD OF PAYMENT (check one) 3. ADDITIONAL FEES The Commissioner is hereby authorized to charge 1. indicated fees and credit any over payments to: Large Entity Entity Fee Fee Fee Fee Fee Description Deposit Code (\$) Paid Code (\$) 01-0431 Account Surcharge - late filing fee or oath 105 130 205 65 227 25 Surcharge - late provisional filing fee 127 50 or cover sheet. Deposit Non-English specification 139 130 139 130 Account Affymetrix, Inc. Name 147 2,520 147 2,520 For filing a request for reexamination Charge Any Additional Fee Required Requesting publication of SIR prior to 112 920 112 920* Under 37 CFR 1.16 and 1.17 Examiner action Applicant claims small entity status. 113 1,840 113 1,8401 Requesting publication of SIR after See 37 CFR 1.27 ☐ Payment Enclosed: 110 115 110 215 55 Extension for reply within first month Extension for reply within second 116 400 216 200 ☐ Money □ Other ☐ Check □ Credit card month 117 920 217 460 Extension for reply within third month **FEE CALCULATION** 720 Extension for reply within fourth 118 1,440 218 BASIC FILING FEE Extension for reply within fifth month Large **Entity Small** Entity 128 1.960 228 980 Fee Description Fee Fee 320 160 Notice of Appeal Fee Fee 119 219 Fee Paid Code (\$) Code (\$) 120 320 220 160 Filing a brief in support of an appeal 201 370 Utility filing fee 101 740 140 Request for oral hearing 121 280 221 106 330 206 165 Design filing fee Petition to institute a public use 138 1,510 138 1,510 107 510 207 255 Plant filing fee proceeding 208 370 Reissue filing fee 108 740 Petition to revive - unavoidable 110 240 55 140 160 214 80 Provisional filling fee 640 Petition to revive - unintentional 114 1.280 241 141 142 1,280 242 640 Utility issue fee (or reissue) SUBTOTAL (1) (\$) 0 143 460 243 230 Design issue fee 144 620 244 310 Plant issue fee 2. EXTRA CLAIM FEES Petitions to the Commissioner 122 122 130 130 Extra Fee from Claims Paid Processing fee under 37 CFR 1.17 (q) below 123 50 50 123 -20 ** 0 х 0 Submission of Information Disclosure 180 126 180 126 ndependent 0 -3 0 X Claims Recording each patent assignment 40 per property (times number of 581 40 581 **Multiple** 0 properties) Dependent 246 370 Filing a submission after final rejection 146 740 Large **Entity** Small Entity (37 ČFR § 1.129(a)) Fee Fee Fee Fee For each additional invention to be Fee Description 149 740 249 370 Code (\$) Code (\$) examined (37 CFR § 1.129(b)) 103 18 203 9 Claims in excess of 20 Request for Continued Examination (RCE) 179 740 279 370 42 Independent claims in excess of 3 102 84 202 104 280 204 140 Multiple dependent claim, if not paid 169 169 900 Request for expedited examination 900 of a design application * Reissue independent claims over 109 84 209 42 original patent ** Reissue claims in excess of 20 and 110 18 210 9 over original patent Other fee (specify) SUBTOTAL (2) (\$) 0 SUBTOTAL (3) *Reduced by Basic Filing Fee Paid (\$) 110 "or number previously paid, if greater; For Reissues, see above

SUBMITTED BY Complete (if applicable)								
Name (Print/Type)	Wei Zhou	Registration No. Attorney/Agent)	Registration No. Attorney/Agent) 44,419		408-731-5000			
Signature	M			Date	5/1/2002			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0551-0031

0,0		Application Number	090/683,613	
TRANSMITTAL TO DIA		Filing Date	January 24, 200 RECFIVE	
FORM (to be use for all correspondence after initial filing)		First Named Inventor	Fred Christians 1646 Unknown TECH CENTER 1600*	
		Group Art Unit		
		Examiner Name		
Total Number of Pages in This Submission	65	Attorney Docket Number	3386.1) () (
	ENCLO	DSURES (check ail that apply)	•	
Fee Transmittal Form	Assignment Papers (for an Application)		After Allowance Communication to Group	
Fee Attached	☑ Drawing(s)		Appeal Communication to Board of Appeals and Interferences	
Amendment / Response	Licensi	ng-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final	Petition Routing Slip (RTO/SB/69) , and Accompanying Petition		Proprietary Information	
Affidavits/declaration(s)	Petition to Convert to a Provisional Application		Status Letter	
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address		Additional Enclosure(s) (please identify below):	
Express Abandonment Request	Terminal Disclaimer		Acknowledgement Postcard Request to substitute drawing	
☐ Information Disclosure Statement	Small Entity Statement Request for Refund			_
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Response to Missing Parts/ Incomplete Application Response to Missing	COPY OF PAPEN ORIGINALLY FILED			
Parts under 37 CFR 1.52 or 1.53				MO C
SIGNAT	URE OF A	APPLICANT, ATTORNEY, O	R AGENT	
Firm or Wei Zhou Individual name				
Signature	2			
Date 5-1-20	002			
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hereby certify that this correspondence is I	being depos	sited with the United States Post	al Service as first class mail in an envelo	ре
addressed to: Assistant Commissioner for	Patents, Wa	shington, D.C. 20231 on this da	te: May 1, 2002	
Typed or printed name Leticia	Reye	Block		
Signature (, ho; a	Rus	o Black	Date May 1, 2002	

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COPY OF PAPERS

ORIGINALLY FILED

Attorney Docket No.3386.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christians et al.

Serial No: 09/683,613

Filing Date: January 24, 2002

Title: METHODS FOR SCREENING **POLYPEPTIDES**

Commissioner for Patents Washington, D.C. 20231

Examiner:

Unknown

Group Art Unit:

1646

INFORMATION DISCLOSURE

STATEMENT

Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for the above patent application. Copies of the documents are enclosed.

Citation of these documents shall not be construed as (1) an admission that the document are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 01-0431. Please deduct any additional fees from, or credit any overpayment to the above-noted Deposit Account.

Dated: _5-1-2002

Respectfully submitted,

Wei Zhou

Reg. No. 44,419

Legal Department Affymetrix, Inc. 3380 Central Expressway Santa Clara, CA 95051

Tel: (408) 731-5000 / Fax: (408)731-5392

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Atty. Docket No. 3386.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Fred CHRISTIANS et al.

Examiner:

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TECH CENTER 1600 2900

Title: METHODS FOR SCREENING **POLYPEPTIDES**

TRANSMITTAL OF SUBSTITUTE DRAWINGS

Commissioner for Patents Washington, D.C. 20231

Sir:

Figures 10 to 12 have been removed from the application. Transmitted herewith are Substitute Figures 13 to 15, consisting of 3 sheets, to be renumbered as Figures 10 to 12, for filing in the subject patent application.

Acceptance of the substitute drawings is respectfully requested.

Respectfully submitted,

Wei Zhou

Reg. No. 44,419

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